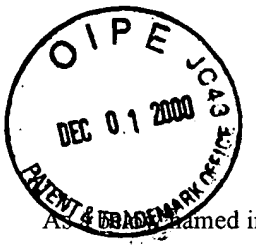
14-3



Case No.: MBHB00-488

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR EXCHANGING DATA BETWEEN AFFILIATED SITES

the specification of which is attached hereto unless the following space is checked:

☒ was filed on August 17, 2000 as United States Application Serial Number 09/640,437.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	Number	Country	Day/Month/Year Filed
1.			

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

	Application Number	Filing Date
1.		

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	Application Number	Filing Date	Status: patented, pending, abandoned
1.			

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CHICAGO, ILLINOIS 60606
TELEPHONE (312) 913-0001

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

Customer Number: **020306**
Principal attorney or agent: Sean M. Sullivan
Telephone number: 312-913-0001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: James Crouthamel

Inventor's signature: _____ Date: 11/21/00
Residence: Chicago, Illinois
Citizenship: US
Post Office Address: 1644 North Mohawk Street, Chicago, Illinois 60614

Full name of second joint inventor: Stuart Watson

Inventor's signature: _____ Date: 11/21/2000
Residence: Chicago, Illinois
Citizenship: US
Post Office Address: 4415 N. Racine Avenue, Unit 1, Chicago, Illinois 60640

Full name of third joint inventor: Daeron Meyer

Inventor's signature: _____ Date: 11-21-2000
Residence: Franklin, Wisconsin
Citizenship: US
Post Office Address: 8000 S. 60th Street, Franklin, Wisconsin, 53132

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PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney's Docket No. MBHB00-488)

Patentee: James Crouthamel, Stuart Watson, Daeron Meyer

Serial or Patent No. 09/640,437

Filed or Issued: August 17, 2000

Title: Method and System for Exchanging Data Between Affiliated Sites

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 C.F.R. § 1.9(f) AND § 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Dynamic Trade, Inc.

ADDRESS OF CONCERN: 500 North Michigan Avenue, Suite 1540
Chicago, Illinois 60611

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121, and referenced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Method and System for Exchanging Data Between Affiliated Sites by inventor(s) James Crouthamel, Stuart Watson and Daeron Meyer

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described in

☐ the specification filed herewith.
☒ Application Serial No. 09/640,437 , filed August 17, 2000 .
☐ Patent No. _____ , issued _____ .

If the rights held by the above identified small business concern are not exclusive, each individual concern or organization having rights in the invention must file verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d), or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization having any rights to the invention is listed below:

☒ No such person, concern or organization exists.
☐ Each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights in the invention averring to their status as small entities. (37 CFR § 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing therein, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: James Crouthamel

TITLE IN ORGANIZATION: Chief Executive Officer and President

ADDRESS OF PERSON SIGNING: 500 North Michigan Avenue, Suite 1540, Chicago, Illinois 60611

Signature: _____

Date: 11/21/00

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